## Automatically Generating Dockerfiles via Deep-Learning: Challenges and Promises

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### Software Containers

# 85% of

organizations will adopt containers by **2025** 





# 85% of

organizations will adopt containers by **2025** 

Gartner

# #1 Most-Wanted and #1 Most Loved tool



















# Writing Dockerfiles is challenging

#### **Developing Docker and Docker-Compose** Specifications: A Developers' Survey

Received November 30, 2021, accepted December 19, 2021, date of publication December 22, 2021, date of current version January 7, 2022.

#### Reis et. al 2021

Mempley 10.2019/ACCESS 2021.31.378/72

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Learning from, Understanding, and Supporting DevOps Artifacts for Docker



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**Time-consuming** activity

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of the process may be the same, others seem to be or one process may be the same, others seem to be different—from testing to debugging, to the error-proneness and longer feedback loops [8].

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## Time-consuming activity

## Technical knowledge required

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Data mining

Learning from, Understanding, and Supporting

DevOps Artifacts for Docker

220 IEEE/ACM 42nd International Conference on Software I

https://doi.org/10.1145 1 INTRODUCTION (mately 118,000 ( Dockerfiles written by by reducing the number 80% via

ABSTRACT

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a interest out of the reach of various efforts in minute and analysis, but at the same time, these artifacts are or discussions with developers tasked with working on the indicate that they learn just enough to "get the job der

1 INTRODUCTION With the continued growth and rapid iteration of software, and increasing amount of attention is being placed on services and information of attention is being placed on services and information of the service place of the service of the services and service place is the service of the service of the services and services are given rise to the practice of the services and services are given rise to the practice of the services and services are given rise to the practice of the services and services are given rise to the practice of the services are given rise to the service of the services are given rise to the practice of the services are given rise to the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the service of the services are given rise to the services are given ri

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## Time-consuming activity

## Technical knowledge required

## Limited supporting tools

INTRODUCTION

KEYWORDS Docker, DevOps, Mining, Static Checking

ACM Reference Format:

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ABSTRACT

Learning from, Understanding, and Supporting

DevOps Artifacts for Docker

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DockerizeMe: Automatic Inference of Environment Dependencies for Python Code Snippets

Horton et. al 2019

2021 IEEE 45th Annual Computers, Software, and Applications Conference (COMPSAC

DockerGen: A Knowledge Graph based Approach for Software Containerization

#### Ye et. al 2021

Altreast—Backer is the defacts container technology for other to container technology for advecting the second sec (2) Given a target software, DockerGen infers all the de pendencies for constructing a runtime environment, including a base image offering an OS, language- and system-level libraries, and tools of compatible versions, based on the knowledge graph. It determines the workflow of building the Docker image and generates a Dockerfile for the software. We evaluate DockerGen by exploiting it to containerize 100 popular software packages of various types. It achieves a 73% images by analyzing nearly 220 housing blockerflike. Underfrihe coplishts the knowledge graph to considerite the target suffrare and the operation workflow. We evaluate Dockerform on 160 offware packages of various categories. Dockerform achieves a 73% build success rate and a 5% configuration success rate containerization based on a domain knowledge graph. Index Terme-Docker, Dockerflike, containerization knowledge build success rate and a 59% configuration success rate. In summary, this work makes the following contributions · We extract knowledge from nearly 220 thousand Dockerfile and build a Docker domain knowledge graph with over 900 thousand entities and nearly 2,900 thousand relations, e.g., software, OSs, Docker artifacts, and relations among them. graph, software package, dependenc · We propose a knowledge-based approach for software con

I. INTRODUCTION

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tainerization. The approach generates a Dockerfile for the target software by inferring the dependencies and determin-

II. BACKGROUND AND MOTIVATION

A Dockerfile specifies the workflow of building a Docke image with a set of domain-specific language (DSL) based instructions. The instructions declare a base image ("FROM"), execute shell commands ("RUN") to install and configure

software, and set environment variables ("ENV"). The example

15), respectively. Finally, the command "conda instal in the third RUN instruction installs PyTorch (line 17). This

language-level dependency (i.e., Anaconda3) are prerequi

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for continuous deployments and deliveries. A Docker image is usually constructed by executing a *Dockerfile* that contains a sequence of instructions specifying how to install and configure a software system [1]. At runtime, a Docker image nstantiates one or more instances, i.e., Docker containers. Creating a Docker image for a specific software requires comprehensive domain knowledge, including (1) languageand system-level dependencies, (2) compatibilities among vare, libraries and operating systems (OSs), and (3) in-In this paper, we propose a knowledge graph-based ap-(line 1) specifies the base image offering the OS CentOS

proach to containerizing software systems analy Docker-Gen. DockerGen addresses the diversity of software systems level tools and libraries (line 6-8) and Anacoda3 (line 10proach to containerizing software systems, namely Dockerand runtime environments by exploiting the domain knowledge acquired from existing Dockerfiles. uputed from existing JOSecrities. In the fitting to the second Docker images from a large number of Dockerfiles (approxi-mately 220 thousand so far) and constructs a Docker domain

3 Wei Chen is the corresponding author

knowledge graph based on a meta-model. 978-1-6654-2463-9/21/531.00 (22021 IEEE DOI 10.1109/COMPSAC51774.2021.00133

Humpback: Code Completion System for Dockerfiles

#### Hanayama et. al 2020

n fully has a high av Keywords nachine learning, language model, long shoet-term r

1. Introduction

Server virtualization is broadly used for cost reduction and efficient resource utilization. Among Server varianzazioni in prosisty uno or con remicisio ano ensure ens various memoria or variantzanos, consisterzanos nas reconse instituiren (1), constant ration errates (gical compartments ( $k_{-}$ , containers) on the host operating system. Each container provides an independent environment. provues an interpensent environment. Docker<sup>1</sup> is the de facto standard containerization platform [2]. Containo Locase is the or lacto standard consummation partorn (c). Consistent an investe are two figured by writing imperative instructions in files called Dockerfiles. The process of managing

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> APSEC 2020, 01-Dec, 2020, Singapore Q k-bas Lac.jp (S. K.



# Al-based **Code Assistants**

DockerizeMe: Automatic Inference of Environment Dependencies for Python Code Snippets

Horton et. al 2019

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2021 IEEE 45th Annual Computers, Software, and Applications Conference (COMPSAC

DockerGen: A Knowledge Graph based Approach for Software Containerization

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software, and set environment variables ("ENV"). The example

Dockerfile<sup>1</sup> (see Fig. 1) builds a Docker image construct-ing a PyTorch-based environment for developing machine learning applications and algorithms. The FROM instruction

(line 1) specifies the base image offering the OS CentOS Two RUN instructions install and configure some system-level tools and libraries (line 6-8) and Anacoda3 (line 10-

15), respectively. Finally, the command "conda instal

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Dockerfile specifies the workflow of building a FyTorch-centric Docker image. The system-level tools, libraries, and

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# Al-based **Code Assistants**

## ...limited to dependencies and code completion



The automated generation from scratch is still an open challenge

# What about ChatGPT?





#### **Prompt:**

Can you provide a Dockerfile using **Debian 9** and installing **Golang 1.9.4** via sources?

# Not Bad!

but...

#### build error (Constant) (Cons

#### dockerfile

# Use Debian 9 as the base image
FROM debian:9

# Install required dependencies
RUN apt-get update && apt-get install -y \
 wget \
 build-essential \
 git

# Download and extract Go 1.9.4
RUN wget https://dl.google.com/go/go1.9.4.linux-amd64.tar.gz \
 && tar -C /usr/local -xzf go1.9.4.linux-amd64.tar.gz \
 && rm go1.9.4.linux-amd64.tar.gz

# Set Go environment variables ENV GOROOT=/usr/local/go ENV GOPATH=/go ENV PATH=\$GOROOT/bin:\$GOPATH/bin:\$PATH

# Create a workspace directory for Go projects
RUN mkdir -p \$GOPATH/src \$GOPATH/bin

# Set the working directory
WORKDIR \$GOPATH/src

# Define the entry point
CMD ["bash"]

G Regenerate response

#### Copy code



## What about state-of-the-art DL models for code-related tasks?



# Phase 1: Model Construction



#### **Dockerfile Generation via T5**



## How to represent **software requirements** for a Dockerfile?

## Natural Language: Too Broad!

**Operating System:** "alpine" Package Manager: "apk" **Package Requirements**: ["python3"] **Download from Sources:** FALSE **ENV variables**: FALSE Build ARGs: FALSE **LABEL:** TRUE **EXPOSE for ports**: TRUE **CMD**: TRUE **ENTRYPOINT:** FALSE

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> 50%

agrees with the

requirements specification

software developers

Asking Developers' Opinion

Revisiting Dockerfiles in Open Source Software Over Time

#### Eng. et. al 2021

Abstract-Docker is becoming ubiquitous with containerization for developing and deploying applications. Previous studies have analyzed Dockerfiles that are used to create container images in order to better understand how to improve Docker tooling. These studies obtain Dockerfiles using either Docker Hub or Github. In this paper, we revisit the findings of previous studies using the largest set of Dockerfiles known to date with over 9.4 million unique Dockerfiles found in the World of Code infrastructure ning from 2013-2020. We contribute a historical view of the Dockerfile format by analyzing the Docker engine changelogs and use the history to enhance our analysis of Dockerfiles. We also reconfirm previous findings of a downward trend in using OS images and an upward trend of using language images. As well, we reconfirm that Dockerfile smell counts are slightly decreasing meaning that Dockerfile authors are likely getting better at following best practices. Based on these findings, it indicates that previous analyses from prior works have been correct in many of their findings and their suggestions to build better tools for Docker image creation are further substantiated. Index Terms-Git, GitHub, Docker

#### I. INTRODUCTION

Docker, a tool for creating and running programs in containers consistently across platforms, was initially released to the public on March 20, 2013 [1], [2]. Ever since its release, Docker has amassed a considerable following with 2.9 million desktop installations and 7 million Docker Hub users as reported in July 2020 [3].

The use of container software such as Docker has made applications easier to deploy, scale, and migrate across platforms. Furthermore, it has also made development setup simpler by reducing the amount of time needed to configure an appropriate environment by bundling the needed configuration instructions in a Dockerfile which can then be used to create images for containers.

Because of the proliferation of Docker, this paper seeks to replicate and elaborate on previous studies on Dockerfile usage using the largest Dockerfile dataset [4] known to date. This paper has findings, using data between 2013-2020, that include

- · Discovering that 7.99% of Dockerfiles exist in more than one distinct repository
- Most repositories overall contain up to 6 Dockerfiles

Dockerfiles [5], [6] (2016, 2020) and RUN being the most popular Dockerfile instruction [5]

#### II. PREVIOUS WORK

In previous work, large collections of Dockerfiles have been mined from Github and Docker Hub to better understand Docker use in repositories and to gather insights on popularity, quality, and possible ways to improve Docker usage.

Mining Github: Cito et al. [5] (2016) focused on analyzing over 70,000 Dockerfiles in Github within commits up until October 2016 finding that: most Dockerfiles use heavy-weight operating systems as a base image; the biggest quality issue of Dockerfiles is missing version pinning of images; and Dockerfiles are not revised often. In another study by Wu et al. [7] (2020), 6334 projects were selected from Github and analyzed for Dockerfile smells finding that: 62% of projects selected have code smells; newer and popular projects have less code smells; and projects with different languages have discernible differences in the amount of smells. Also of note is Henkel et al. [8] who retrieved approximately 178,000 Dockerfiles from Github to test with rules mined from the Dockerfiles of official

Docker images and found that there should be more tooling to support developers using Dockerfiles Mining Docker Hub: Lin et al. [6] (2020) scraped Docker

Hub and its related GitHub and Bitbucket repositories retrieving 434,304 Dockerfiles up until May 2020. They sought to better understand the Docker ecosystem through Docker Hub. They concluded that: for base images more programming runtime images and ready-to-use application images are being used instead of OS images; there is a declining trend over the years in Dockerfile smells; and there is an upward trend of using end of life Ubuntu base images. Additionally, Zhang et al. [9], [10] selected 2840 projects from Docker Hub to identify evolutionary patterns of Dockerfiles and its impact on

Dockerfile quality and image build latency. It should be noted that mining from Docker Hub may not be representative of all Docker usage as users do not have to push images to Docker Hub to use Docker and can choose to build and host images locally or in a private repository.

#### A. Challenges in Previous Work

• Confirmation of previous findings such as JavaScript All of the above previous work focuses on Docker use in being the most popular language of projects that contain a project based perspective and involves mining Dockerfiles

# **9.4**M unique Dockerfiles

# from **2013** to **2020**

#### **Dockerfile Dataset**



#### **Dataset Filtering**



#### **Dataset Filtering**



### 3

#### **Dataset Filtering**



#### **Resulting Dataset**



# T5 model construction



# 560k instances

#### Pre-Training



90k instances

Fine-Tuning



## T5 model construction

# **5** pre-training settings



Only

Aa

Only



Dockerfile & English

# Fine Tuning





# T5 model construction

# Phase 2: Model evaluation













#### IR-Baseline 1



## SentTransformers



#### **IR-Baseline 1**



Evaluation

## **RQ1** Adherence to the input High-Level Specification





## Metric: Field-by-field match

Input HLS

Generated/Retrieved HLS

		ES	SBERT.net
OS	~1.00	0.92	0.88
Pkg. Manager	0.98	1.00	1.00
Pkg. Requirements	0.87	0.88	0.76
<b>Download from sources</b>	0.82	0.84	0.52
ENV variables	0.89	0.81	0.17
Build ARGs	0.99	0.88	0.17
LABEL	~1.00	0.87	0.37
EXPOSE for ports	0.80	0.83	0.45
CMD	0.74	0.83	0.26
ENTRYPOINT	0.84	0.85	0.45

### Results for RQ1



### **RQ2** Structural similarity between Dockerfiles





The lower is better

#### Results for RQ2

### **RQ3** Similarity between Docker images

build

build



#### Input Dockerfile

FROM golang:1.20-alpine

WORKDIR /src

СОРҮ..

RUN go mod download

RUN go build -o /bin/client ./cmd/client

RUN go build -o /bin/server ./cmd/server

ENTRYPOINT [ "/bin/server" ]

#### Gen./Retr. Dockerfile

FROM golang:1.20-alpine

WORKDIR /src

СОРҮ..

RUN go mod download

RUN go build -o /bin/client ./cmd/client

ENTRYPOINT [ "/bin/server" ]

	_
FROM golang:1.20-alpine	
WORKDIR /src	
COPY	
RUN go mod download	
RUN go build -o /bin/client ./cmd/client	
RUN go build -o /bin/server ./cmd/server	
ENTRYPOINT [ "/bin/server" ]	

Docker Image A

Docker	Image	В
Dooner	mage.	

FROM golang:1.20-alpine
WORKDIR /src
COPY
RUN go mod download
RUN go build -o /bin/client ./cmd/client
ENTRYDOTNT [ "/bin/conver"]
ENTRYPOINT [ "/DIN/Server" ]

Matching SHAs

### Metric: Percentage of matching layers

### **RQ3** Similarity between Docker images





#### Results for RQ3



## Summary







Summary

T5 achieves slightly better results than IR ... More resource-consuming compared to IR Generated Dockerfiles require manual adjustments

# What we have learned?





### Not enough training instances





### Not enough training instances



### **Data augmentation**





# A different training procedure must be used





# A different training procedure must be used



# Different stopping criterion



### **Dockerfile abstractions**

## Summary





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# Thank you!